

1. Important Information



- 1.1 Hollard Investments is a division of Hollard Life Assurance Company Limited and Hollard Investment Managers.
- 1.2 In accordance with the Tax Administration Act (No. 28 of 2011) (which adopts the Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Information), we are required to collect certain information about investor's tax matters as prescribed.
- 1.3 Hollard cares about your privacy. In order to provide you with our service, we and our service provider have to process the personal information you provide us with by completing this form. We will treat this information with caution and we have put reasonable security measures in place to protect it.
- 1.4 Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's investment, with the South African Revenue Service who may in turn share this information with other relevant tax authorities.
- 1.5 Should there be any uncertainty with regards to how to complete this form we encourage you to seek independent tax advice from a registered tax advisor.
- 1.6 Various terms included in this form have been defined in the CRS and FATCA FAQ Sheet available on the following websites:
 - CRS: www.oecd.org
 - FATCA: www.irs.gov
 - FATCA: <https://www.sars.gov.za/ClientSegments/Businesses/Mod3rdParty/AEOI/Pages/default.aspx>
- 1.7 All documents can be sent via email to customer@hollardinvestments.co.za or faxed to +27(0)11 351 3816.

2. Identification of Investor / Controlling Person



2.1 Personal Details:			
Title:			
Full Name & Surname:			
Identification Type:	South African Identity Document	<input type="checkbox"/>	
	Passport	<input type="checkbox"/>	
	Foreign Identity Document	<input type="checkbox"/>	
ID/Passport No:		Date of Birth:	
Country of issuing passport (if applicable):		Expiry Date of passport:	
Country of Birth:			
City or Town of Birth:			

	If existing Investor please provide Investment numbers:		
2.2	Address Details		
	Residential Address:		
	Complex Name:		Unit No: <input type="text"/>
	Street/Farm Name:		Street No: <input type="text"/>
	Suburb/District: <input type="text"/>		
	City/Town:		Code: <input type="text"/>
	Postal Address:		
	Postal address is as per the residential address?	<input type="checkbox"/> Yes	<input type="checkbox"/> No If No, please complete a postal address below?
	Address Type:	<input type="checkbox"/> PO Box	<input type="checkbox"/> Private Bag
		<input type="checkbox"/> Postnet Suit	<input type="checkbox"/> Box/Bag/Suite No: <input type="text"/>
	Post Office Name:		Code: <input type="text"/>
2.3	Contact Details:		
	Home:		Mobile: <input type="text"/>
	Email: <input type="text"/>		

3. Tax Registration Details

3.1	South African Income Tax Number: <input type="text"/>
	* Please Note: Income Tax numbers are required for minor Investors. This can be requested from SARS by completing an IT77 form and submitting it to SARS for processing.
	If you are unable to provide a Tax number, state the reason below:
	<input type="text"/>
	<input type="text"/>
3.2	Do you have any tax obligations or tax residence outside South Africa? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes", please complete Section 4 below.
3.3	Do you hold any citizenships or nationalities outside of South Africa, including US citizenship or whether you are a US person/US national? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No", then Section 4 below does not need to be completed.
3.4	If you are a US citizen, a US Person, a US National, or US tax resident, please provide us with a completed IRS W-9 form .

3.5	<p>Important notes on completing the rest of this form:</p> <ul style="list-style-type: none"> If you have selected 'No' for both of the questions above, complete Section 6 below. If you have selected 'Yes' for either of the questions above, complete Sections 4 and 6 below. If you are a controlling person, and have selected 'No' for both of the questions above, complete Sections 5 and 6 below. If you are a controlling person, and have selected 'Yes' for both of the questions above, complete Sections 4, 5 and 6 below.
------------	---

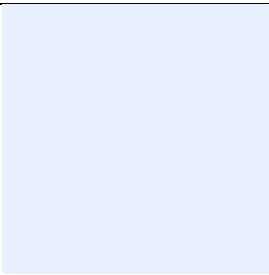
4. Investor/Controlling Person's Tax Residency

4.1	This section must be completed to indicate all countries where the individual is a tax resident and/or has tax obligations.		
4.2	Country of Tax Residency	Tax Identification Number	If you are unable to provide a Tax No, please select one of the reasons below*
<p>* Guide to reasons for not being able to obtain a Tax No.:</p> <ul style="list-style-type: none"> Reason A: The country/jurisdiction where the investor is resident does not issue a Tax No. to its residents. Reason B: Investor is unable to obtain a Tax No. or equivalent number. Explanation must be provided. Reason C: No Tax No. required (only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the Tax No. issued by such jurisdiction). 			
4.3	If you selected Reason B above, please explain in the boxes below why you are unable to obtain a Tax No.		
	Country of tax residence/Country that issued Tax No.	Explanation of why you are unable to obtain Tax No.	

5. Entity holding an investment in relation to which you are considered a controlling person of a Passive Non-Financial Foreign Entity

This section only needs to be completed when a person is considered a controlling person of an entity with an investment.	
Name of Entity:	
Registration Number of Entity:	
Investment numbers(s) for the entity:	

6. Investor/Controlling Person Declaration

I, the undersigned:					
6.1	Declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.				
6.2	Undertake to immediately advise of any change in circumstances which affects the tax residency status of the individual identified in Section 2 and 3 of this form or causes the information contained herein to become incorrect or incomplete, and to provide a suitably updated self-certification and declaration within 90 days of such change in circumstances.				
6.3	Undertake to immediately advise of any change in personal details (e.g. current physical address, postal address etc.)				
6.4	Acknowledge that the information contained in this form and the information regarding the investor and any reportable investments may be provided to the South African Revenue Service (SARS), and exchanged with tax authorities of other country(s)/ jurisdiction(s) in which the investor may be tax resident in accordance with intergovernmental agreements to exchange financial account information.				
6.5	Signature:				
	Signed at		on this		day of
	(Place)		(Day)		(Month & Year)
	Investor Signature:				
Official Capacity:					
* If the applicant is under the age of 18, this signature must be that of the Person Acting on Behalf of the Investor.					